

01-11-08

11/3628

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January 9, 2008

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RE: U.S. Patent Application No.: 10/032,653**  
**Title: METHOD AND SYSTEM FOR MATCHING SHORT TRADING POSITIONS WITH LONG TRADING POSITIONS**  
**Filed: November 13, 2001**  
**Inventor: Joseph P. Blauvelt et al.**  
**Attorney Docket No.: 07-1036**  
**C/M No.: 24463-71303**

Dear Sir/Madam:

In reference to the above-captioned application, transmitted herewith are the following:

1. Transmittal Form (1 page);
2. Fee Transmittal Form (1 page);
3. Petition for Extension of Time Under 37 CFR 1.136(a) (1 page);
4. Amendment (14 pages);
5. Credit Card Authorization Form (1 page); and
6. Return Postcard.

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment in the enclosed amounts to Deposit Account Number 50-0979.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven I. Wallach".

Steven I. Wallach  
Registration No. 35,402

SIW:sac  
Enclosure

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**CERTIFICATE OF EXPRESS MAILING UNDER 37C.F.R. § 1.10**

I hereby certify that this correspondence, and all papers and things referred to herein as being attached, enclosed, transmitted, or otherwise submitted, are being deposited with the U.S. Postal Service for delivery by Express Mail Post Office to Addressee service with Express Mail Label EV 222885715 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date shown below.

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Steven A Cobb

Date: January 9, 2008

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number

10/032,653

Filing Date

November 13, 2001

First Named Inventor

Joseph P. Blauvelt

Art Unit

3628

Examiner Name

Jennifer L. Liversedge

Attorney Docket Number

07-1036

### ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/  
Incomplete Application



Reply to Missing Parts  
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a  
Provisional Application



Power of Attorney, Revocation  
Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC



Appeal Communication to Board  
of Appeals and Interferences



Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify  
below):

Transmittal Letter; Credit Card Authorization  
Form; Return Postcard

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Dilworth Paxson LLP

Signature

Printed name

Steven I. Wallach

Date

January 9, 2008

Reg. No.

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Date

January 9, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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